

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

In the Matter of:

Whistleblower Award Applications of Applicant –
Form WB-APP 2014-01-10-01
Form WB-APP 2014-01-10-02
Form WB-APP 2014-01-10-03
Form WB-APP 2014-01-10-04
Form WB-APP 2014-01-10-05
Form WB-APP 2014-01-10-06
Form WB-APP 2014-01-10-07
Form WB-APP 2014-01-10-08
Form WB-APP 2014-01-10-09
Form WB-APP 2014-01-10-10
Form WB-APP 2014-01-10-11
Form WB-APP 2014-01-10-12
Form WB-APP 2014-01-10-13
Form WB-APP 2014-01-10-14
Form WB-APP 2014-01-10-15
Form WB-APP 2014-01-10-16
Form WB-APP 2014-01-10-17
Form WB-APP 2014-01-10-18
Form WB-APP 2014-01-10-19
Form WB-APP 2014-01-10-20
Form WB-APP 2014-01-10-21

ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award applications of Applicant (Applicant), submitted on Forms WB-APP 2014-01-10-01 through WB-APP 2014-01-10-21, referenced above.

Pursuant to the Commission’s Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the

Determination and Final Order of the Commission that the whistleblower award applications be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: September 3, 2015



Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

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WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (Commission) are the whistleblower award applications of Applicant (Applicant), submitted on Forms WB-APP 2014-01-10-01 through Form WB-APP 2014-01-10-21, referenced above.

IT IS HEREBY DETERMINED that:

1. The award applications are denied because the award applications were not submitted in connection with a Notice of Covered Action or a final judgment in a related action,

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as required by Section 165.7(a) of the Commission's Whistleblower Rules (17 C.F.R. § 165.7(a) (2015)) and the information in the applications provided by the Applicant did not lead to the successful enforcement of a Commission covered judicial or administrative action that is a subject of a Notice of Covered Action or a related action, as required by Section 23(b)(1) of the Commodity Exchange Act (7 U.S.C. § 26(b)(1) (2012)) and Sections 165.2(i) and 165.5(a)(3) of the Commission's Whistleblower Rules (17 C.F.R. §§ 165.2(i), 165.5(a)(3) (2015)).

2. Furthermore, Applicant mistakenly submitted complaints on Form WB-APPs rather than on Form TCRs (the form for whistleblower tips and complaints).

Dated: September 1, 2015

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581